

FOREIGN ACCOUNT TAX COMPLIANCE FORM - CORPORATE

PLEASE COMPLETE USING BLACK INK AND PRINT YOUR RESPONSES

1. Type of Organization (Please check applicable boxes)

- Limited Liability Company
 International Limited Liability
 Publicly Traded
 State Enterprise
 Sole Trader
 Partnership
 Joint Venture
 Other _____
 Financial Institution (Eg. Bank, Credit Union, Trust)

2. FATCA Status

- Participating FFI*
 Reporting Model 1 FFI*
 Reporting Model 2 FFI*
 Non Participating FFI
 Active NFFE
 Passive NFFE**
 Direct Reporting NFFE*
 Other _____

*If yes, provide Global Intermediary Identification Number _____

**Does the company have any U.S. shareholders? Yes No

*** See FATCA status definitions on page 3

3. Corporate Information

Company Name		
Registered Address		
Company Mailing Address		
Telephone Number Including Area Code (xxx)-xxx-xxxx	Primary Number:	Fax Number:
Country of Incorporation		

If incorporated in the U.S. please provide a Form W-9

4. Shareholders and Beneficial Owner Information

Information must be provided for each shareholder owning shares of the company as well as all beneficial owners. Beneficial owner refers to a person on whose behalf an account is opened, a business relationship is established or a transaction concluded.

Full Name & Residential Address	Date of Birth & Country of Citizenship	Percentage of Share Ownership



5. Completion of U.S. Treasury Forms	
<p>If the entity is a U.S. legal person or has U.S. shareholders whose shareholding exceeds 10%, complete a Form W-9 for the entity. A Form W-9 will also be required for every U.S. shareholder; documentary evidence of U.S. status for both the entity and U.S. shareholders must be attached to this form.</p>	<p>Form Attached</p> <p style="text-align: center;"><input type="checkbox"/></p>
<p>In the case of a non-U.S. entity, complete Form W-8BEN-E and attach documentary evidence of non-U.S. status.</p>	<p style="text-align: center;"><input type="checkbox"/></p>
6. Declaration and Waiver	
<p>The undersigned confirms that the foregoing answers are true and correct and authorizes Sagicor (which expression shall include Sagicor Financial Corporation and any entity under its control) to submit all current and historical data related to any past or present account(s) of the undersigned, including, but not limited to, the existence of the account in the name of the undersigned; the identity, address and tax identification number of the undersigned; the beneficial owner of the account; the holders of powers of attorney for the account; the details of any account transactions; the nature, balances and composition of the assets held in the account; any correspondence relating to the account and any U.S. Internal Revenue Service or Department of Treasury forms which the undersigned furnishes to the Sagicor (collectively "Customer Information") to the U.S. Internal Revenue Service or domestic governmental authorities ("Authorities") as necessary for compliance with the U.S. Foreign Account Tax Compliance Act or related domestic legislation. The undersigned further acknowledges that Customer Information may be used by one or more of the Authorities for law enforcement purposes, including but not limited to criminal proceedings and civil tax proceedings against the undersigned or third parties. The undersigned hereby releases and discharges Sagicor from its obligations under any contractual or statutory provision which restricts the disclosure of Customer Information and agrees that Sagicor may contact the undersigned at any time by any means in order to obtain or update Customer Information.</p>	
<p>Signature: _____</p>	<p>Date: _____ dd/mm/yy</p>

*****FATCA Status Definitions- Section 2 of Corporate FATCA Form**

Participating FFI (Foreign Financial Institution)	An FFI that enters into an FFI agreement with the IRS.
Reporting Model 1 FFI	FFIs located in a Model 1 IGA jurisdiction (Reporting Model 1 FFIs) should register to obtain a GIIN, but they do not need to provide a GIIN to withholding agents until January 1, 2015, so they have additional time to register.
Reporting Model 2 FFI	FFIs located in a Model 2 IGA jurisdiction (Reporting Model 2 FFIs) must register with the IRS and agree to comply with the terms of an FFI agreement.
Non- Participating FFI	An FFI that does not enter into an agreement with the IRS and is subject to withholding under FATCA.
Sponsored FFI	An FFI that is sponsored by a Sponsoring Entity. The Sponsoring Entity performs the due diligence, withholding, and reporting obligations of one or more non-U.S. investment entities or controlled foreign corporation (sponsored FFIs). Sponsoring Entity also manages the registration of its Sponsored FFIs.
Active NFFE (Non- Financial Foreign Entity)	The term 'Active NFFE' generally refers to an entity that operates an active trade or business other than that of a financial business. An entity is an active NFFE if: Less than 50 percent of its gross income for a preceding calendar year is investment-type income Less than 50 percent of the assets held by the entity are assets that produce or are held for the production of dividends, interest, rents and royalties (other than those derived from active conduct of trade or business), annuities, or other passive income.
Passive NFFE	An NFFE that is not otherwise excepted or active NFFE will be a passive NFFE and must provide withholding agents with a certification regarding its substantial US owners (if any), or if none exist, with a certification to that effect.
Direct Reporting NFFE	An NFFE that will register with the IRS, receive a GIIN, and agree to report directly to the IRS any substantial U.S. owners (as opposed to disclosing this information to its withholding agent).
Sponsored Direct Reporting NFFE	A Direct Reporting NFFE that is sponsored by a Sponsoring Direct Reporting NFFE. The Sponsoring Direct Reporting NFFE serves as a sponsor for one or more direct reporting NFFEs, and is required to report information about a sponsored direct reporting NFFE's direct or indirect substantial U.S. owners directly to the IRS.