

FOREIGN ACCOUNT TAX COMPLIANCE FORM - CORPORATE

PLEASE COMPLETE USING BLACK INK AND PRINT YOUR RESPONSES

1. Type of Organization (Please check applicable boxes)

- Limited Liability Company
 International Limited Liability
 Publicly Traded
 State Enterprise
 Sole Trader
 Partnership
 Joint Venture
 Other _____
 Financial Institution (Eg. Bank, Credit Union, Trust)

2. FATCA Status

- Participating FFI*
 Reporting Model 1 FFI*
 Reporting Model 2 FF1*
 Non Participating FFI
 Active NFFE
 Passive NFFE**
 Direct Reporting NFFE*
 Other _____

*If yes, provide Global Intermediary Identification Number _____

**Does the company have any U.S. shareholders? Yes No

3. Corporate Information

Company Name		
Registered Address		
Company Mailing Address		
Telephone Number Including Area Code (xxx)-xxx-xxxx	Primary Number:	Fax Number:
Country of Incorporation		

If incorporated in the U.S. please provide a Form W-9

4. Shareholders and Beneficial Owner Information

Information must be provided for each shareholder owning shares of the company as well as all beneficial owners. Beneficial owner refers to a person on whose behalf an account is opened, a business relationship is established or a transaction concluded.

Full Name & Residential Address	Date of Birth & Country of Citizenship	Percentage of Share Ownership



5. Completion of U.S. Treasury Forms

Form Attached

If the entity is a U.S. legal person or has U.S. shareholders whose shareholding exceeds 10%, complete a Form W-9 for the entity. A Form W-9 will also be required for every U.S. shareholder; documentary evidence of U.S. status for both the entity and U.S. shareholders must be attached to this form.

In the case of a non-U.S. entity, complete Form W-8BEN-E and attach documentary evidence of non-U.S. status.

6. Declaration and Waiver

The undersigned confirms that the foregoing answers are true and correct and authorizes Sagicor (which expression shall include Sagicor Financial Corporation and any entity under its control) to submit all current and historical data related to any past or present account(s) of the undersigned, including, but not limited to, the existence of the account in the name of the undersigned; the identity, address and tax identification number of the undersigned; the beneficial owner of the account; the holders of powers of attorney for the account; the details of any account transactions; the nature, balances and composition of the assets held in the account; any correspondence relating to the account and any U.S. Internal Revenue Service or Department of Treasury forms which the undersigned furnishes to the Sagicor (collectively "Customer Information") to the U.S. Internal Revenue Service or domestic governmental authorities ("Authorities") as necessary for compliance with the U.S. Foreign Account Tax Compliance Act or related domestic legislation. The undersigned further acknowledges that Customer Information may be used by one or more of the Authorities for law enforcement purposes, including but not limited to criminal proceedings and civil tax proceedings against the undersigned or third parties. The undersigned hereby releases and discharges Sagicor from its obligations under any contractual or statutory provision which restricts the disclosure of Customer Information and agrees that Sagicor may contact the undersigned at any time by any means in order to obtain or update Customer Information.

Signature: _____

Date: _____
dd/mm/yy